

Regulatory and Other Committee

Open Report on behalf of Pete Moore, Executive Director of Finance and Public Protection

Report to:	Audit Committee
Date:	23 June 2014
Subject:	Corporate Audit Progress Report to 31 May 2014

Summary:

This report provides an update on progress made against the Audit Plan 2014/15 and provides summaries of all audits completed within the period March to May 2014.

Recommendation(s):

That the Committee notes the outcomes of Corporate Audit work and identifies any actions it requires.

Background

This report provides summaries of all audit reports completed within the period 1 March to 31 May 2014. It also provides an update on the progress made against the current years Audit Plan 2014/15. Details can be found in Appendix A, including:

- Key messages on Internal Audit work completed or in progress
- Other significant pieces of work undertaken
- Summaries of audits with Substantial or Full (Effective) assurance
- Performance Information
- Full report on audits with Limited or No assurance
- Other matters of interest
- Outstanding recommendations

Conclusion

This progress report outlines the key findings from each audit and offers more information on those areas which received a limited or no assurances audit opinion.

Following the approval of the 2014/15 Audit Plan in March we have made a number of small changes – there are a variety of reasons for the changes and

these are explained within the attached paper. The overall resource allocation remains the same.

We have made a good start on the programme of work for this year – fieldwork is underway on 5 directorate audits, 1 audit is at draft report stage and 3 schools audits have been completed. We also have two auditors working almost full-time advising / supporting the system design stage of the Agresso implementation project. This is a critical and significant part of our audit work during 2014/15.

The Committee should note the outcomes of the audit work undertaken and identify any action required.

Consultation

a) Policy Proofing Actions Required

N/A

Appendices

These are listed below and attached at the back of the report	
Appendix A	Corporate Audit Progress Report

Background Papers

No background papers within Section 100D of the Local Government Act 1972 were used in the preparation of this report.

This report was written by Lucy Pledge, who can be contacted on 01522-553692 or lucy.pledge@lincolnshire.gov.uk.